

## UNITED STATES PATENT AND TRADEMARK OFFICE

United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

| APPLICATION NO.  | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|--|----------------|----------------------|-------------------------|------------------|
| 09/485,071   | 02/03/2000     | NORBERT O. REICH     | 30794.30USWO            | 1444             |
| 22462 . 7.   | 590 10/28/2002 |                      |                         |                  |
| GATES & COOPER LLP HOWARD HUGHES CENTER 6701 CENTER DRIVE WEST, SUITE 1050 LOS ANGELES, CA 90045 |                |                      | EXAMINER                |                  |
|  |                |                      | LEWIS, PATRICK T        |                  |
| LOS ANGELE   | S, CA 90045    |                      | ART UNIT                | PAPER NUMBER     |
|  |                |                      | 1623                    | 177              |
|  |                |                      | DATE MAILED: 10/28/2002 | : (/             |

Please find below and/or attached an Office communication concerning this application or proceeding.

BEST AVAILABLE COPY

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| SERIAL NUMBER | FILING DATE      | FIRST NAMED APPLICANT               | AT                            | FORNEY DOCKET NO. |
|---------------|------------------|-------------------------------------|-------------------------------|-------------------|
| 09/485,071    | February 3, 2000 | Norbert O. Reich G+C 30794.30-US-WO |                               |                   |
| Γ             | /                |                                     |                               |                   |
|               |                  |                                     | EXAMINER Patrick Lewis, Ph.D. |                   |
|               |                  |                                     |                               |                   |
|               |                  |                                     | ART UNIT                      | PAPER NUMBER      |
|               |                  |                                     | 1623                          | 17                |
| L             |                  | J                                   | DATE MAILED:                  |                   |

## Please find below a communication from the EXAMINER in charge of this application

Commissioner of Patents

- 1. Applicants' response filed 10/01/2002 as Paper number #16 will be held in abeyance until the application is in sequence compliance.
- 2. This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 C.F.R. § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 C.F.R. §§ 1.821-1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures.
- 3. Any inquiry concerning this communication should be directed to Examiner Patrick T. Lewis, Art Unit 1623, whose telephone number is (703)305-4043.
- 4. Any questions regarding compliance with the sequence rules requirements specifically should be directed to the departments listed at the bottom of the Notice to Comply.
- 5. APPLICANT IS GIVEN ONE MONTH FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 C.R.F. §\$ 1.821-1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 C.F.R. § 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 C.F.R. § 1.136. In no case may an applicant extend the period for response beyond the six month statutory period. Direct the response to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the response.

Patrick T. Lewis, Ph.D. Patent Examiner, Group 1623

JAMES O. WILSON
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 1600

Application No.: 09/485,07/

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

| X   | <ol> <li>This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's<br/>attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR<br/>18230, May 1, 1990.</li> </ol>                                     |
|-----|---|
|     | <ol><li>This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence<br/>Listing" as required by 37 C.F.R. 1.821(c).</li></ol>  |
| X   | 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).   |
|     | 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." |
|     | 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).               |
|     | 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).  |
|     | 7. Other:   |
| Аp  | plicant Must Provide:   |
| X   | An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".   |
|     | An <u>initial</u> or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.   |
| X   | A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).  |
| For | questions regarding compliance to these requirements, please contact:   |
| For | Rules Interpretation, call (703) 308-4216   |

For CRF Submission Help, call (703) 308-4212

For Patentin software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE